

# A mechanistic model of $\text{H}_2^{18}\text{O}$ and $\text{C}^{18}\text{OO}$ fluxes between ecosystems and the atmosphere: Model description and sensitivity analyses

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Received 31 January 2002; revised 2 May 2002; accepted 9 May 2002; published 21 November 2002.

[1] The concentration of  $^{18}\text{O}$  in atmospheric  $\text{CO}_2$  and  $\text{H}_2\text{O}$  is a potentially powerful tracer of ecosystem carbon and water fluxes. In this paper we describe the development of an isotope model (ISOLSM) that simulates the  $^{18}\text{O}$  content of canopy water vapor, leaf water, and vertically resolved soil water; leaf photosynthetic  $^{18}\text{OC}^{16}\text{O}$  (hereinafter  $\text{C}^{18}\text{OO}$ ) fluxes;  $\text{CO}_2$  oxygen isotope exchanges with soil and leaf water; soil  $\text{CO}_2$  and  $\text{C}^{18}\text{OO}$  diffusive fluxes (including abiotic soil exchange); and ecosystem exchange of  $\text{H}_2^{18}\text{O}$  and  $\text{C}^{18}\text{OO}$  with the atmosphere. The isotope model is integrated into the land surface model LSM, but coupling with other models should be straightforward. We describe ISOLSM and apply it to evaluate (1) simplified methods of predicting the  $\text{C}^{18}\text{OO}$  soil-surface flux; (2) the impacts on the  $\text{C}^{18}\text{OO}$  soil-surface flux of the soil-gas diffusion coefficient formulation, soil  $\text{CO}_2$  source distribution, and rooting distribution; (3) the impacts on the  $\text{C}^{18}\text{OO}$  fluxes of carbonic anhydrase (CA) activity in soil and leaves; and (4) the sensitivity of model predictions to the  $\delta^{18}\text{O}$  value of atmospheric water vapor and  $\text{CO}_2$ . Previously published simplified models are unable to capture the seasonal and diurnal variations in the  $\text{C}^{18}\text{OO}$  soil-surface fluxes simulated by ISOLSM.

Differences in the assumed soil  $\text{CO}_2$  production and rooting depth profiles, carbonic anhydrase activity in soil and leaves, and the  $\delta^{18}\text{O}$  value of atmospheric water vapor have substantial impacts on the ecosystem  $\text{CO}_2$  flux isotopic composition. We conclude that accurate prediction of  $\text{C}^{18}\text{OO}$  ecosystem fluxes requires careful representation of  $\text{H}_2^{18}\text{O}$  and  $\text{C}^{18}\text{OO}$  exchanges and transport in soils and plants.

**INDEX TERMS:** 0315 Atmospheric Composition and Structure: Biosphere/atmosphere interactions; 1615 Global Change: Biogeochemical processes (4805); 1655 Global Change: Water cycles (1836); 1818 Hydrology: Evapotranspiration; **KEYWORDS:**  $^{18}\text{O}$  in  $\text{CO}_2$ , model,  $^{18}\text{O}$  in  $\text{H}_2\text{O}$ , isotope model, ecosystem model, land surface model

**Citation:** Riley, W. J., C. J. Still, M. S. Torn, and J. A. Berry, A mechanistic model of  $\text{H}_2^{18}\text{O}$  and  $\text{C}^{18}\text{OO}$  fluxes between ecosystems and the atmosphere: Model description and sensitivity analyses, *Global Biogeochem. Cycles*, 16(4), 1095, doi:10.1029/2002GB001878, 2002.

## 1. Introduction

[2] Our ability to predict climatic impacts of anthropogenic activity depends on an understanding of the biological and biophysical processes that interact with atmospheric  $\text{CO}_2$ . Terrestrial gross carbon fluxes (i.e., photosynthesis and soil-microbial and plant respiration, which together comprise net ecosystem exchange) respond differently to environmental conditions such as air and soil temperature, moisture, and vegetation type. Unraveling the impacts of

these forcing factors on the gross fluxes and net ecosystem carbon exchange requires mechanistic understanding of the processes involved and inclusion of these mechanisms in terrestrial biosphere models. In this context, the oxygen isotopic composition of atmospheric  $\text{CO}_2$  may prove particularly helpful. Unlike the concentration and  $\delta^{13}\text{C}$  value of atmospheric  $\text{CO}_2$ , which are tightly coupled and largely sensitive to net carbon exchanges, the  $\delta^{18}\text{O}$  value of atmospheric  $\text{CO}_2$  can aid in the distinction of gross fluxes because of the large differences between photosynthetic and soil respiratory isotopic signatures [Keeling, 1995; Yakir and Wang, 1996].

[3] Francey and Tans [1987] and Friedli et al. [1987] originally described the importance of terrestrial gross fluxes in determining variations in the  $\delta^{18}\text{O}$  value of atmospheric  $\text{CO}_2$ . To better constrain the  $\delta^{18}\text{O}$  value of soil-respired  $\text{CO}_2$ , Hesterburg and Siegenthaler [1991] developed a model of the hydration and isotopic equilibration with soil water of  $\text{CO}_2$  as it diffuses through the soil column. They also demonstrated that competition between

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diffusion and equilibration with soil water determines the  $\delta^{18}\text{O}$  value of soil-respired  $\text{CO}_2$ . Tans [1998] and Miller *et al.* [1999] extended this work by incorporating the influence of an abiotic “invasion” flux on the soil-surface  $^{16}\text{O}^{18}\text{O}$  (hereinafter  $\text{C}^{18}\text{OO}$ ) flux.

[4] Farquhar *et al.* [1993] and Farquhar and Lloyd [1993] developed equations to describe photosynthetic exchanges of  $\text{C}^{18}\text{OO}$ . Analogous to soil respiration,  $\text{CO}_2$  exchanges oxygen isotopes with water in the chloroplasts of leaves where photosynthetic carbon reduction occurs. Farquhar *et al.* [1993] demonstrated that these exchanges are a function of  $\text{CO}_2$  fluxes into and out of stomata and catalysis of the hydration reaction by the chloroplastic enzyme carbonic anhydrase (CA). Other investigators have examined the details of these processes and their impacts on the  $\delta^{18}\text{O}$  value of  $\text{CO}_2$  fluxes during photosynthesis [Flanagan *et al.*, 1994; Gillon and Yakir, 2000a, 2000b; Williams *et al.*, 1996; Yakir *et al.*, 1994].

[5] The mechanistic understanding derived from these studies has been incorporated, to varying degrees, in global simulations of the  $\delta^{18}\text{O}$  value of atmospheric  $\text{CO}_2$ . For example, Ciais *et al.* [1997a, 1997b] used a land surface model (SiB2) and an offline tracer-transport model (TM2) to examine the impacts of isotopic fluxes from vegetation and soils, ocean gas exchange, fossil fuel emissions, and biomass burning. They concluded that the seasonal cycle in the  $\delta^{18}\text{O}$  value of atmospheric  $\text{CO}_2$  is driven largely by terrestrial photosynthesis and respiration, while oceanic and anthropogenic sources have little effect. They also showed that the terrestrial biosphere drives the latitudinal gradient in the atmospheric  $\delta^{18}\text{O}$  value, confirming the work of Francey and Tans [1987] and Farquhar *et al.* [1993]. Using the same combination of models as Ciais *et al.* [1997a], Peylin *et al.* [1999] showed that the high-latitude atmospheric  $\text{CO}_2$   $\delta^{18}\text{O}$  seasonal cycle is largely a function of respiration from extratropical biomes, and that one region (the Siberian taiga) dominates most of the seasonality observed at remote, Northern Hemisphere monitoring stations.

[6] At the site level, Yakir and Wang [1996] took advantage of the isotopic difference between photosynthesis and soil respiration by using above-canopy measurements of  $^{13}\text{C}$  and  $^{18}\text{O}$  in  $\text{CO}_2$  to partition net ecosystem exchange into its component fluxes. Flanagan *et al.* [1997] analyzed the influence of photosynthetic and respiratory carbon exchanges on the measured  $\delta^{18}\text{O}$  value of  $\text{CO}_2$  sampled in a forest canopy. In this study, diurnal variations in the  $\delta^{18}\text{O}$  value of  $\text{CO}_2$  were largely explained by variations in the photosynthetic  $\text{C}^{18}\text{OO}$  fluxes. Another canopy-scale study [Harwood *et al.*, 1999] examined linkages between the plant and soil water pools with which  $\text{CO}_2$  exchanges and the  $\delta^{18}\text{O}$  value of canopy  $\text{CO}_2$ . They found that heterogeneity in the water pools likely accounted for variations in the  $\delta^{18}\text{O}$  value of  $\text{CO}_2$ , and that these variations were not linked to the canopy  $\text{CO}_2$  concentration.

[7] These global and canopy-scale studies demonstrate that the  $\delta^{18}\text{O}$  value of  $\text{CO}_2$  is a sensitive indicator of gross terrestrial carbon fluxes. However, the oxygen isotope exchanges of  $\text{CO}_2$  with soil and plant water are mechanistically complex, temporally and spatially variable, and dependent on meteorological forcing and vegetation type

and status (e.g.,  $\text{C}_3$  versus  $\text{C}_4$  photosynthesis, leaf area index (LAI)). For example,  $\text{C}_3$  grass leaves typically have higher internal  $\text{CO}_2$  concentrations ( $c_i$ ) than  $\text{C}_4$  leaves. The effective fractionation across the leaf boundary layer and through the stoma depends on  $c_i$ , which in turn impacts the ecosystem  $\text{CO}_2$  flux isotopic composition. Further,  $\text{C}_3$  and  $\text{C}_4$  plants differently affect the ecosystem energy and mass balances [Baldocchi, 1994].

[8] We contend that mechanistic representation in ecosystem models of the processes controlling  $\text{C}^{18}\text{OO}$  and  $\text{H}_2^{18}\text{O}$  fluxes will aid in our ability to use the  $\delta^{18}\text{O}$  value of  $\text{CO}_2$  as a tracer of ecosystem function. In particular, simulating integrated ecosystem water and carbon cycles is critical to predicting  $\text{C}^{18}\text{OO}$  fluxes since these fluxes are strongly influenced by gross  $\text{CO}_2$  fluxes and the isotopic composition of ecosystem  $\text{H}_2\text{O}$  pools. These integrated models will allow us to better constrain estimates of respiratory and photosynthetic  $\text{CO}_2$  fluxes; improve our models of evaporation, transpiration, and energy balance partitioning; perform meaningful sensitivity studies; and test simplified models of isotopic exchange appropriate for large-scale simulations.

[9] In this paper we describe the development of a mechanistic model (called ISOLSM) to predict gross and net  $\text{C}^{18}\text{OO}$  and  $\text{H}_2^{18}\text{O}$  exchanges between ecosystems and the atmosphere. The isotope model has been integrated into the land surface model LSM (version 1.0) [Bonan, 1996] to allow fully coupled simulations of vegetation, soil, and atmospheric processes that are important in ecosystem fluxes. Modules are included to compute the  $\text{H}_2^{18}\text{O}$  content of canopy water vapor, leaf water, and vertically resolved soil water; leaf photosynthetic and retrodiffusive  $\text{C}^{18}\text{OO}$  fluxes;  $\text{CO}_2$  equilibration with  $^{18}\text{O}$  in soil water; and soil  $\text{CO}_2$  and  $\text{C}^{18}\text{OO}$  diffusive fluxes.

[10] The model has been tested using data collected at a  $\text{C}_4$ -dominated tallgrass prairie site (W. J. Riley *et al.*,  $^{18}\text{O}$  composition of  $\text{CO}_2$  and  $\text{H}_2\text{O}$  ecosystem pools and fluxes in a tallgrass prairie: Simulations and comparisons to measurements, manuscript in preparation, 2002) (hereinafter referred to as Riley *et al.*, manuscript in preparation, 2002). ISOLSM accurately predicted ecosystem  $\text{CO}_2$  and latent and sensible heat fluxes; soil moisture and temperature; stem, leaf, and vertically resolved soil water isotopic composition; and the ecosystem  $\text{CO}_2$  flux isotopic composition. We use forcing data from that study to drive the model for the tests and sensitivity analyses conducted here. However, the processes included in ISOLSM are applicable to other vegetation and soil types, and we intend to investigate the impacts of these factors on  $\text{C}^{18}\text{OO}$  fluxes in future work. We are also integrating ISOLSM into an atmospheric GCM that captures diurnal variations in the  $^{18}\text{O}$  composition of precipitation and boundary layer  $\text{H}_2\text{O}$  and  $\text{CO}_2$  [Noone *et al.*, 2001].

[11] After describing the isotope modules and their integration we apply ISOLSM to evaluate (1) two previously published simplified methods of predicting  $\text{C}^{18}\text{OO}$  soil-surface fluxes; (2) the impact of the soil-gas diffusion coefficient formulation and the assumed soil microbial  $\text{CO}_2$  source and rooting distribution; (3) the impact of carbonic anhydrase (CA) activity in soil and leaves; and

(4) the sensitivity of model predictions to the  $\delta^{18}\text{O}$  values of atmospheric water vapor and CO<sub>2</sub>.

## 2. Model Description

[12] In this section we describe the pertinent relationships from LSM, modules integrated with LSM to simulate ecosystem C<sup>18</sup>OO and H<sub>2</sub><sup>18</sup>O exchanges, coupling of these modules within LSM, temporal and spatial discretization applied in the various submodels, and the solution methods applied. We express isotopic values in per mil (‰), with CO<sub>2</sub> flux and isotopic concentration ratios calculated relative to the standard Vienna Pee Dee belemnite (V-PDB), and H<sub>2</sub>O isotopic values given relative to Vienna-Standard Mean Ocean Water (V-SMOW).

### 2.1. LSM

[13] LSM is a “big-leaf” [Dickinson *et al.*, 1986; Sellers *et al.*, 1996], single-canopy land surface model that simulates energy, CO<sub>2</sub>, and H<sub>2</sub>O fluxes between ecosystems and the atmosphere [Bonan, 1996, and references contained therein]. The model partitions the canopy into sunlit and shaded fractions. Separate modules are included to simulate aboveground fluxes of radiation, momentum, sensible heat, and latent heat; energy and water fluxes below ground; and coupled CO<sub>2</sub> and H<sub>2</sub>O exchange between plants and the atmosphere. We applied the methods of Reindl *et al.* [1990] and Alados and Alados-Arboledas [1999] to partition the measured shortwave radiation into direct and diffuse components of visible and near-infrared radiation. As written, LSM runs on a subhourly time step for aboveground processes, while soil water transport is updated every 10 min. Twenty-eight surface types, comprising varying fractional land covers of 13 plant types, are simulated in the model. Soil hydraulic characteristics are determined from sand, silt, and clay content. For the examples presented here, we did not apply the LSM modules that predict ecosystem dynamics (e.g., LAI), but instead used measurements taken at the tallgrass site.

### 2.2. Soil Water and Heat Transport

[14] We made several changes to the hydrological routines in LSM to facilitate simulation of H<sub>2</sub><sup>18</sup>O fluxes between the ecosystem and atmosphere. The first change was to allow for varying soil hydrological properties with depth (i.e., hydraulic conductivity, water retention curve slope, and saturated water content). Although this change may be unnecessary for global simulations where information on vertically resolved soil hydrological properties is lacking, an ability to accommodate vertically resolved soil properties is valuable at the site scale.

[15] To simulate soil aqueous and gaseous transport, we required a finer spatial discretization than currently used in LSM. In ISOLSM, soil-water fluxes and H<sub>2</sub><sup>18</sup>O content are computed with a 300-s time step, half that currently used in LSM. We applied a 2.5-cm vertical discretization to 30 cm depth to resolve the near-surface soil water H<sub>2</sub><sup>18</sup>O gradient while maintaining a reasonable time step. The remainder of the soil column (down to 5 m) was discretized into nine progressively larger control volumes.

[16] LSM solves the Richards equation [Warrick, 2002] to determine the soil water content,  $\theta$  (m<sup>3</sup> H<sub>2</sub>O m<sup>-3</sup> soil) and soil water flux,  $q$  (m<sup>3</sup> H<sub>2</sub>O m<sup>-2</sup> s<sup>-1</sup>), as a function of depth,  $z$  (m), and time,  $t$  (s). The Clapp and Hornberger [1978] formulation is used to relate hydraulic conductivity and matric potential to the saturated hydraulic conductivity, saturated matric potential, and slope of the water retention curve. For the examples presented here we used measurements from the tallgrass prairie site for these parameters [Colello *et al.*, 1998].

[17] In LSM, if the predicted water content in a soil layer exceeds the saturated water content,  $\theta_s$  (m<sup>3</sup> H<sub>2</sub>O m<sup>-3</sup> soil), the excess water is added to successive soil layers until each layer is brought to saturation. Also, if the predicted water content in a soil layer falls below a preset minimum, water is added to that layer from the layer below. While this strategy is appropriate for global simulations with stringent computational limits, discrepancies between the predicted soil moisture and water fluxes can occur during periods of high or low surface water inputs. Simulating H<sub>2</sub><sup>18</sup>O transport in soil water requires an internally consistent prediction of soil water content and fluxes between soil layers. To address this issue, ISOLSM recalculates the water flux based on the predicted water content at the end of each time step. LSM predicts the vertically resolved soil temperature,  $T_s$  (K), using a Fourier conduction model with moisture- and texture-dependent heat capacity and thermal conductivity.

### 2.3. Soil Water H<sub>2</sub><sup>18</sup>O Transport

[18] By mass balance, the depth-dependent <sup>18</sup>O soil water isotopic ratio,  $R_w$ , can be computed as

$$\frac{\partial(R_w\theta)}{\partial t} = \frac{\partial(R_wq)}{\partial z} - R_wE_T, \quad (1)$$

where  $E_T$  (m<sup>3</sup> H<sub>2</sub>O m<sup>-3</sup> s<sup>-1</sup>) is the transpiration flux partitioned into each soil layer based on the relative rooting density ( $\rho_r$ ) and soil layer thickness. Note that equation (1) ignores diffusive transport (however, see Mathieu and Bariac [1996]) and that there is no isotopic fractionation for advective transport or root water withdrawal [Bariac *et al.*, 1994]. The vertical rooting density is assumed to be the same as a similar grassland site studied in the FIFE campaign [Colello *et al.*, 1998].

[19] Equation (1) is discretized on the same vertical grid as the soil moisture calculations and is solved explicitly at each time step. The surface flux boundary condition for equation (1) accounts for H<sub>2</sub><sup>18</sup>O inputs from rain and irrigation and the net H<sub>2</sub><sup>18</sup>O removed from the soil surface via evaporation. ISOLSM accounts for equilibrium fractionation during evaporation and fractionation through the laminar sublayer at the soil surface, as described below. For rain and irrigation inputs, the surface aqueous H<sub>2</sub><sup>18</sup>O flux is  $q_iR_i$ , where  $q_i$  (m<sup>3</sup> H<sub>2</sub>O m<sup>-2</sup> s<sup>-1</sup>) is the infiltration rate and  $R_i$  is the incoming water isotopic concentration ratio. In the equations presented here, isotopic ratios ( $R$ ) are defined as the ratio of the concentration of the rare species (H<sub>2</sub><sup>18</sup>O or C<sup>18</sup>OO) to the sum of the rare and common species concentrations. In standard practice the measured concentration ratio of rare to common species is



typically substituted, and the difference is negligible [Tans, 1993]. To compare with measured values, we express computed values using this standard convention.

## 2.4. H<sub>2</sub><sup>18</sup>O Vapor Exchange

[20] In LSM, the canopy airspace is treated as a single compartment. The net ecosystem water vapor flux is computed from the water vapor gradient between canopy air and the atmosphere and a corresponding aerodynamic conductance. Analogously, we compute the H<sub>2</sub><sup>18</sup>O vapor flux,  $E^{18}$  (kg m<sup>-2</sup> s<sup>-1</sup>), between the ecosystem (plants and soil) and atmosphere as

$$E^{18} = E_g^{18} + E_v^{18,sun} + E_v^{18,sha} = \frac{\rho_a c_p}{\lambda \gamma} (R_a^v e_a - R_{atm}^v e_{atm}) c_a^{18}, \quad (2)$$

where  $\rho_a$  (kg m<sup>-3</sup>) is air density;  $c_p$  (J kg<sup>-1</sup> K<sup>-1</sup>) is dry air heat capacity at constant pressure;  $\lambda$  (J kg<sup>-1</sup>) is the latent heat of vaporization of water;  $\gamma$  (Pa K<sup>-1</sup>) is the psychrometric constant, defined as  $\lambda = \frac{c_p p_a}{0.622 \gamma}$ ;  $p_a$  is atmospheric pressure (Pa);  $R_{atm}^v$  and  $R_a^v$  are the atmospheric and canopy air water vapor <sup>18</sup>O isotopic ratios, respectively;  $e_{atm}$  and  $e_a$  (Pa) are the water vapor pressures in the atmosphere and canopy air, respectively;  $c_a^{18}$  (m s<sup>-1</sup>) is the aerodynamic conductance for H<sub>2</sub><sup>18</sup>O (assumed to be the same as for H<sub>2</sub>O);  $E_g^{18}$  (kg m<sup>-2</sup> s<sup>-1</sup>) is the soil-surface evaporative H<sub>2</sub><sup>18</sup>O flux; and the H<sub>2</sub><sup>18</sup>O fluxes from sunlit and shaded leaves,  $E_v^{18,sun}$  and  $E_v^{18,sha}$  (kg m<sup>-2</sup> s<sup>-1</sup>), are calculated as

$$E_v^{18,sun} = \frac{\rho_a c_p}{\lambda \gamma} (\alpha_e^w(T_v) R_l^{sun} e_i - R_a^v e_a) c_t^{18,sun} \quad (3)$$

$$E_v^{18,sha} = \frac{\rho_a c_p}{\lambda \gamma} (\alpha_e^w(T_v) R_l^{sha} e_i - R_a^v e_a) c_t^{18,sha}. \quad (4)$$

Here  $e_i$  (Pa) is the water vapor pressure within the leaf (i.e., saturated water vapor pressure,  $e^*$  (Pa), at the vegetation temperature,  $T_v$  (K));  $R_l^{sun}$  and  $R_l^{sha}$  are the sunlit and shaded leaf water isotopic ratios, respectively; and  $c_t^{18,sun}$  and  $c_t^{18,sha}$  (m s<sup>-1</sup>) are the H<sub>2</sub><sup>18</sup>O conductances between the leaf interior and canopy air for sunlit and shaded leaves, respectively. The equilibrium vapor pressure offset above a liquid surface,  $\alpha_e^w$ , is a function of temperature,  $T$  (K) [Majoube, 1971],

$$\alpha_e^w(T) = \frac{1}{\exp\left(\frac{1137}{T^2} - \frac{0.4156}{T} - 0.0020667\right)}. \quad (5)$$

[21] Following Mathieu and Bariac [1996], the soil-surface evaporative H<sub>2</sub><sup>18</sup>O flux is calculated as

$$E_g^{18} = \frac{\rho_a c_p}{\lambda \gamma} (\alpha_e^w(T_{s,0}) R_{w,0} e^*(T_{s,0}) - R_a^v e_a) c_g^{18}, \quad (6)$$

where  $R_{w,0}$  and  $T_{s,0}$  (K) are the soil water isotopic ratio and temperature in the top soil control volume, respectively, and  $c_g^{18}$  is the conductance between the soil surface and canopy air (m s<sup>-1</sup>). Equation (6) defines the vapor flux

boundary condition for equation (1). The H<sub>2</sub><sup>18</sup>O conductances applied in equations (2)–(6) are defined as

$$c_a^{18} = \frac{1}{r_{aw}}, \quad (7)$$

$$c_t^{18,sun} = (1 - f_w) \left\{ \frac{L^{sun}}{\frac{r_b}{\alpha_{kb}} + \frac{r_s^{sun}}{\alpha_k}} \right\}, \quad (8)$$

$$c_t^{18,sha} = (1 - f_w) \left\{ \frac{L^{sha}}{\frac{r_b}{\alpha_{kb}} + \frac{r_s^{sha}}{\alpha_k}} \right\}, \quad (9)$$

$$c_g^{18} = \frac{1}{r'_{aw} + \frac{r_{soil}}{\alpha_{kb}}}, \quad (10)$$

where  $\alpha_k$  and  $\alpha_{kb}$  are the water vapor isotopic kinetic fractionations for molecular diffusion and diffusion through a laminar boundary layer, respectively. These fractionations are calculated as the ratio of the H<sub>2</sub><sup>18</sup>O diffusivity,  $D_w^{18}$  (m<sup>2</sup> s<sup>-1</sup>), to the H<sub>2</sub>O diffusivity,  $D_w^{16}$  (m<sup>2</sup> s<sup>-1</sup>), raised to an exponent  $n'$ :  $\left(\frac{D_w^{18}}{D_w^{16}}\right)^{n'}$ . Merlivat [1978] measured the ratio  $\frac{D_w^{18}}{D_w^{16}}$  to be 0.97229. The exponent  $n'$  depends on turbulence intensity, ranging from 0.5 for fully turbulent conditions to 1.0 for pure molecular diffusion [Mathieu and Bariac, 1996]. In the simulations presented here we use  $n' = 0.67$  for transport through the leaf and soil-surface boundary layers and  $n' = 1.0$  for transport through the stoma. Resistances for H<sub>2</sub>O and H<sub>2</sub><sup>18</sup>O in fully turbulent transport are taken to be identical. The remaining terms in equations (7)–(10) are as defined by Bonan [1996]; that is,  $f_w$  is the wetted fraction of the canopy;  $r_{aw}$  and  $r'_{aw}$  (s m<sup>-1</sup>) are the aerodynamic resistances between the canopy air and atmosphere and between the ground surface and canopy air, respectively;  $r_s^{sun}$  and  $r_s^{sha}$  (s m<sup>-1</sup>) are the sunlit and shaded stomatal resistances, respectively;  $\bar{r}_b$  (s m<sup>-1</sup>) is the leaf boundary layer resistance;  $L$  and  $S$  (m<sup>2</sup> m<sup>-2</sup>) are the total leaf and stem area indices, respectively; and  $L^{sun}$  and  $L^{sha}$  (m<sup>2</sup> m<sup>-2</sup>) are the sunlit and shaded leaf area indices, respectively.

[22] Combining equations (2), (3), (4), and (6) yields the following diagnostic equation for the canopy water vapor <sup>18</sup>O isotopic composition:

$$R_a^v = \frac{1}{e_a} \left[ c_a^{18} R_{atm}^v e_{atm} + c_g^{18} \alpha_e^w(T_{s,0}) R_{w,0} e^*(T_{s,0}) + \alpha_e^w(T_v) e_i (R_l^{sun} c_t^{18,sun} + R_l^{sha} c_t^{18,sha}) \right] \cdot (c_a^{18} + c_g^{18} + c_t^{18,sun} + c_t^{18,sha})^{-1}. \quad (11)$$

[23] In the examples described below we do not have continuous measurements of the atmospheric water vapor isotopic ratio,  $R_{atm}^v$ , which varies diurnally due to interactions with the canopy and overlying atmosphere. For this work, we assume atmospheric water vapor has a  $\delta^{18}$ O value

of −10‰. This assumption recognizes the importance of the stemwater <sup>18</sup>O content and the dominant contribution of transpiration to the atmospheric boundary layer water vapor content. We examine the sensitivity of our predictions to this assumption below.

[24] An alternative (and simpler) method to equation (6) for computing the surface flux boundary condition is [Ciais *et al.*, 1997a]

$$E_g^{18} = \alpha_e^w(T_{s,0})R_{w,0}E_g. \quad (12)$$

In equation (12), the impact of evaporation on the near-surface soil water isotopic composition assumes that the H<sub>2</sub><sup>18</sup>O vapor flux can be calculated from the total soil evaporative flux and the equilibrium offset, without reference to the H<sub>2</sub><sup>18</sup>O vapor gradient between the surface and canopy air. We investigate below the impact of this assumption on the soil water isotopic composition and surface H<sub>2</sub><sup>18</sup>O and C<sup>18</sup>OO fluxes.

## 2.5. Soil Respiration

[25] To predict CO<sub>2</sub> and C<sup>18</sup>OO soil-surface fluxes, we require an estimate of the depth-dependent CO<sub>2</sub> source strength from microbial and root respiration,  $S_c$  (μmol m<sup>−3</sup> s<sup>−1</sup>). For the results presented here, we apply LSM's estimates of root respiration,  $r_r$  (μmol m<sup>−2</sup> s<sup>−1</sup>), and microbial respiration,  $r_m$  (μmol m<sup>−2</sup> s<sup>−1</sup>). Root respiration is computed as a function of root biomass and temperature, while microbial respiration depends on the average volumetric soil water content to 1 m depth, field capacity water content, saturation water content, soil carbon content, respiration rate at 10°C, and surface soil temperature. In future model updates we intend to include a more detailed representation of soil microbial processes to better quantify the soil-respired flux.

[26] The depth-dependent respiration source strength in the soil column is assumed to decay exponentially with depth such that the integrated source equals  $r_m + r_r$ :

$$S_c = \frac{r_m + r_r}{z_e} e^{-z/z_e}. \quad (13)$$

Here  $z_e$  (m) is the e-folding distance, taken to be 0.15 m for the baseline simulations. We investigate the sensitivity of the surface C<sup>18</sup>OO flux to  $z_e$  below.

## 2.6. CO<sub>2</sub> and C<sup>18</sup>OO Transport and Efflux

[27] Following Tans [1998], ISOLSM predicts the transient, depth-resolved soil gas-phase CO<sub>2</sub> concentration,  $C$  (μmol m<sup>−3</sup>), as

$$\frac{\partial(\epsilon_t C)}{\partial t} = \frac{\partial}{\partial z} \left( \epsilon_a D_c \left( \frac{\partial C}{\partial z} \right) \right) + S_c. \quad (14)$$

Here  $\epsilon_t = \epsilon_a + B\epsilon_w$ ;  $\epsilon_a$  and  $\epsilon_w$  (m<sup>3</sup> m<sup>−3</sup> soil) are the soil air- and water-filled pore space, respectively, and  $B$  (m<sup>3</sup> air m<sup>−3</sup> water) is the temperature-dependent Bunson solubility coefficient. We calculate the depth-dependent CO<sub>2</sub> effective diffusivity,  $D_c$  (m<sup>2</sup> s<sup>−1</sup>), as

$$D_c = D_0 \kappa \theta_s \left( \frac{\theta_s - \theta}{\theta_s} \right)^3 \left( \frac{T_s}{T_0} \right)^n, \quad (15)$$

where  $D_0$  (m<sup>2</sup> s<sup>−1</sup>) is the molecular diffusivity of CO<sub>2</sub> at  $T_0 = 298$  K ( $1.4 \times 10^{-5}$  m<sup>2</sup> s<sup>−1</sup>) and  $\kappa$  is 0.66. Moldrup *et al.* [1999] developed the water content dependence in equation (15) using results from 29 undisturbed soils spanning a range of soil types. Fuller *et al.* [1966] found  $n$  to be about 1.75, while the Chapman-Enskog kinetic theory [Bird *et al.*, 2002], predicts a value of 1.5 for the temperature range expected in soils. Other investigators have applied different methods to estimate the effective soil gas diffusivity. We discuss the importance of the diffusivity formulation on the soil-surface CO<sub>2</sub> isotopic flux signature below.

[28] The soil-gas C<sup>18</sup>OO concentration can be calculated as [Tans, 1998]

$$\frac{\partial(\epsilon_t R_g C)}{\partial t} = \frac{\partial}{\partial z} \left( \epsilon_a D_c^{18} \left( \frac{\partial(R_g C)}{\partial z} \right) \right) + S_c R_s + k_H B \epsilon_w C (R_{eq} - R_g), \quad (16)$$

where  $R_g$  is the gas phase CO<sub>2</sub> isotopic ratio;  $R_{eq}$  is the CO<sub>2</sub> isotopic ratio in equilibrium with local soil moisture (i.e.,  $R_{eq} = \alpha_e^c(T_s)R_w$ );  $R_s$  is the isotopic ratio of the respired CO<sub>2</sub> (taken to be in equilibrium with the local soil moisture);  $k_H$  (s<sup>−1</sup>) is the temperature-dependent hydration rate (equal to one-third the CO<sub>2</sub> hydration rate since there are three oxygen atoms present in the bicarbonate intermediate, i.e.,  $k_H = \frac{0.037}{3} \exp(0.118(T_s - 273.15 - 25))$ ) [Skirrow, 1975];  $D_c^{18}$  (m<sup>2</sup> s<sup>−1</sup>) is the effective C<sup>18</sup>OO diffusivity in the soil pore space, calculated as  $\alpha_k^c D_c$ ;  $\alpha_k^c$  is the isotopic kinetic fractionation for molecular diffusion of CO<sub>2</sub>; and  $\alpha_e^c$  is the temperature-dependent equilibration factor between gaseous CO<sub>2</sub> and water [Brenninkmeier *et al.*, 1983],

$$\alpha_e^c(T) = 1 + \frac{(17604 - 17.93)}{1000}. \quad (17)$$

[29] The model ignores impacts of advective soil gas transport on the <sup>18</sup>O isotopic composition of soil-gas CO<sub>2</sub>. Stern *et al.* [1999], in their numerical modeling study, showed that gas advection does not significantly impact the resulting soil-gas isotopic composition. We have assumed that CO<sub>2</sub> produced at depth in the soil is in equilibrium with local water. Respiration from roots in dry surface soil is a possible exception to this assumption, as the root tissue water may have an isotopic signature more similar to stem water. Macropore flow can be substantial in near-surface soils. The resulting increased effective diffusivity near the surface can reduce the effective kinetic fractionation of the CO<sub>2</sub> surface flux. Nevertheless, we did not explicitly consider near-surface macropore diffusive flow in this study, although the model structure allows the user to set the effective soil diffusivity as a function of depth, and thereby perform a sensitivity analysis of this process.

[30] The fluxes of C<sup>18</sup>OO,  $F_s^{18}$  (μmol m<sup>−2</sup> s<sup>−1</sup>), and CO<sub>2</sub>,  $F_s$  (μmol m<sup>−2</sup> s<sup>−1</sup>), from the soil surface are computed as

$$F_s^{18} = -\epsilon_a D_c^{18} \frac{\partial(R_g C)}{\partial z} \Big|_{z=0} \quad (18)$$

$$F_s = -\epsilon_a D_c \frac{\partial C}{\partial z} \Big|_{z=0}. \quad (19)$$

Note that equations (14) and (16) implicitly include the “invasion” (or “abiotic”) flux. In the results presented here we impose the CO<sub>2</sub> concentration at the ground surface with measurements from the site and assume the surface CO<sub>2</sub> isotopic composition is 0.5‰. Equations (14) and (16) are solved using a Crank-Nicholson approach [Press *et al.*, 1989] for the diffusive term and an explicit approach for the source and reaction terms. The time step for these calculations is 60 s, and we used 20 unequal control volume sizes (more finely discretized near the surface) over the top 1 m of soil.

[31] Using the soil modules of ISOLSM in steady-state mode, we compared predicted soil CO<sub>2</sub> and C<sup>18</sup>OO concentrations and soil-surface fluxes with the analytical solutions presented by Tans [1998] for exponential and constant CO<sub>2</sub> source profiles. ISOLSM predictions of depth-resolved concentrations and fluxes were essentially identical to the steady-state analytical solutions (not shown).

## 2.7. Isotopic Composition of H<sub>2</sub>O and CO<sub>2</sub> in Leaves and Stems

[32] We apply an equilibrium leaf water model [Rodén and Ehleringer, 1999] to predict the leaf water isotopic ratio,  $R_l$ , for both sunlit and shaded leaves (i.e.,  $R_l^{sun}$  and  $R_l^{sha}$ ). The equilibrium model is based on the Craig-Gordon [Craig and Gordon, 1965] approach to predicting surface water isotopic composition, with modifications for leaves as described by Flanagan *et al.* [1991],

$$R_l = 1/\alpha_e^w(T_v) \left( \frac{1}{\alpha_k} R_{sw} \frac{(e_i - e_s)}{e_i} + \frac{1}{\alpha_{kb}} R_{sw} \frac{(e_s - e_a)}{e_i} + R_a^v \frac{e_a}{e_i} \right). \quad (20)$$

Here,  $e_s$  (Pa) is the water vapor pressure at the leaf surface and  $R_{sw}$  is the root-density-weighted soil water isotopic ratio, defined as

$$R_{sw} = \frac{\int R_w \rho_r dz}{\int \rho_r dz}, \quad (21)$$

where the integration covers the entire soil column. We assume that the plant stem water isotopic ratio equals  $R_{sw}$ .

[33] CA in plant leaves rapidly catalyzes CO<sub>2</sub> hydration and thereby accelerates <sup>18</sup>O exchange between CO<sub>2</sub> and plant water. Although previous models have assumed instantaneous CO<sub>2</sub> equilibration with plant water because of the presence of CA, recent work has shown that CA activity can vary among plant types, with the lowest activities in C<sub>4</sub> grasses [Gillon and Yakir, 2001; Gillon and Yakir, 2000a]. Further, Helliker and Ehleringer [2000] showed that bulk leaf water can be more enriched in <sup>18</sup>O in C<sub>4</sub> grass leaves than predicted by the Craig-Gordon model, and that the enrichment can vary along the length of the leaf. We assume here that gaseous CO<sub>2</sub> is in equilibrium with leaf and stem water at their respective temperatures, and therefore has isotopic ratios  $R_{c,l} = \alpha_e^c(T_v) R_l$  and  $R_{c,sw} = \alpha_e^c(T_{s,0}) R_{sw}$ , respectively. The impact of variable CA activity on C<sup>18</sup>OO exchange in leaves and soil will be examined below.

## 2.8. C<sup>18</sup>OO Exchanges in Leaves and Stems

[34] The C<sup>18</sup>OO fluxes into,  $F_{la}^{18}$  (μmol m<sup>-2</sup> s<sup>-1</sup>), and out of,  $F_{al}^{18}$  (μmol m<sup>-2</sup> s<sup>-1</sup>), sunlit and shaded leaves are calculated as

$$F_{la}^{18} = F_{la} R_{c,l} \alpha_k' \quad (22)$$

$$F_{al}^{18} = F_{al} R_{c,atm} \alpha_k', \quad (23)$$

where  $R_{c,atm}$  is the atmospheric <sup>18</sup>O isotopic ratio of CO<sub>2</sub>. Gross CO<sub>2</sub> fluxes into ( $F_{al}$  (μmol m<sup>-2</sup> s<sup>-1</sup>)) and out of ( $F_{la}$  (μmol m<sup>-2</sup> s<sup>-1</sup>)) the leaf are computed from the CO<sub>2</sub> concentrations in, and the resistance between, the atmosphere and leaf interior [Ciais *et al.*, 1997a]. The net C<sup>18</sup>OO leaf exchange (positive towards the atmosphere),  $F_{net}^{18}$  (μmol m<sup>-2</sup> s<sup>-1</sup>), is calculated as the difference between  $F_{la}^{18}$  and  $F_{al}^{18}$  on the sunlit and shaded fractions, weighted by the sunlit and shaded LAI fractions.

[35] The diffusive fractionation term,  $\alpha_k'$ , is the weighted fractionation across the laminar leaf boundary layer and through the stoma (ignoring the drawdown from the bottom of the stomatal pore to the chloroplast, which is about 0.8‰) [Farquhar and Lloyd, 1993],

$$\alpha_k' = \frac{(c_a - c_s) \alpha_{kb}^c + (c_s - c_i) \alpha_k^c}{c_a - c_i}. \quad (24)$$

Here  $\alpha_{kb}^c$  is the isotopic kinetic fractionation for diffusion of CO<sub>2</sub> through the laminar leaf boundary layer (calculated analogously to H<sub>2</sub>O), and  $c_a$ ,  $c_i$ , and  $c_s$  are the CO<sub>2</sub> mole fractions in the canopy air, at the bottom of the stomatal pore, and at the leaf surface, respectively, as determined from the iterative photosynthesis and stomatal conductance calculation in LSM. Recent work by Gillon and Yakir [2000b] showed that the effective limit of CA activity is at the chloroplast surface adjacent to the mesophyll cell wall, rather than at the chloroplast interior. However, we do not calculate the concentration at the chloroplast surface, but instead apply the concentration at the bottom of the stomatal pore ( $c_i$ ).

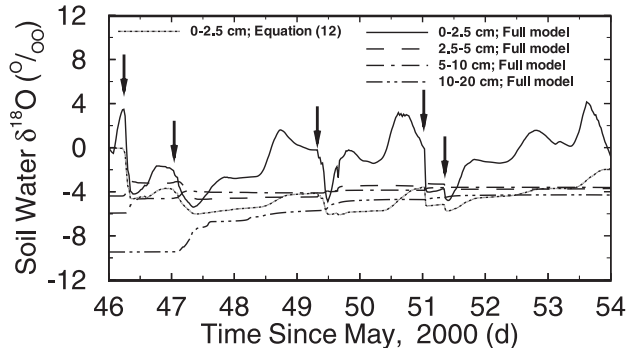
[36] C<sup>18</sup>OO fluxes from stem maintenance respiration,  $F_{sm}^{18}$  (μmol m<sup>-2</sup> s<sup>-1</sup>), and growth respiration,  $F_g^{18}$  (μmol m<sup>-2</sup> s<sup>-1</sup>), are calculated as

$$F_{sm}^{18} = F_{sm} R_{c,sw} \alpha_k^c \quad (25)$$

$$F_g^{18} = F_g R_{c,sw} \alpha_k^c, \quad (26)$$

where the stem maintenance respiration,  $F_{sm}$  (μmol m<sup>-2</sup> s<sup>-1</sup>), and growth respiration,  $F_g$  (μmol m<sup>-2</sup> s<sup>-1</sup>), are computed in LSM. We have applied molecular diffusive fractionation for stem maintenance and growth respiration, and assumed isotopic equilibrium with stemwater. The fraction of growth respiration occurring below ground is uncertain, although partitioning of growth respiration should track production of new tissue [Amthor, 1989]. Unfortunately, LSM does not simulate carbon allocation or other components of the soil carbon cycle. Integration of ISOLSM with the next generation of ecosystem models that include these processes will allow consistent partitioning of the growth respiration CO<sub>2</sub> flux. Note also that the





**Figure 1.** Soil water isotopic composition predicted by ISOLSM at four depth intervals and predicted by the method of equation (12) in the top 2.5 cm over a period with five precipitation events (indicated by the arrows). Differences between predictions from the full model and from equation (12) were small below the top soil layer. The  $\delta^{18}\text{O}$  values are relative to the V-SMOW standard.

current version of ISOLSM does not account for isotopic exchange with canopy-intercepted or dew water.

[37] Finally, the net ecosystem C<sup>18</sup>OO flux,  $F^{18}$  ( $\mu\text{mol m}^{-2} \text{s}^{-1}$ ), is computed as

$$F^{18} = F_{sm}^{18} + F_g^{18} + F_{net}^{18} + F_s^{18}. \quad (27)$$

### 3. Results and Discussion

[38] In this section we describe model performance for several model components, apply the model to evaluate two previously published methods of predicting the C<sup>18</sup>OO soil-surface flux, and examine the sensitivity of model predictions to several ecosystem parameters and functional representation of processes. We drive the model with the meteorological data set from the C<sub>4</sub>-dominated tallgrass site between May and July 2000, as described by *Suyker and Verma* [2001].

#### 3.1. Soil, Stem, and Leaf Water Isotopic Composition

[39] The soil-surface H<sub>2</sub><sup>18</sup>O evaporative flux depends on the top soil layer isotopic composition (equation (6)). In the absence of precipitation, the predicted soil water isotopic composition below the top layer remains relatively stable (Figure 1). Immediately following precipitation events (indicated by the arrows), the near-surface soil water isotopic composition approaches the isotopic composition of the precipitation. The extent to which a particular soil layer approaches the precipitation signal depends on the magnitude of the infiltration flux. Soil evaporation over the next few days causes the top soil layer's isotopic composition to become increasingly enriched in <sup>18</sup>O. Dynamics of the soil water isotopic composition will influence the soil-surface CO<sub>2</sub> flux isotopic composition (equation (16)).

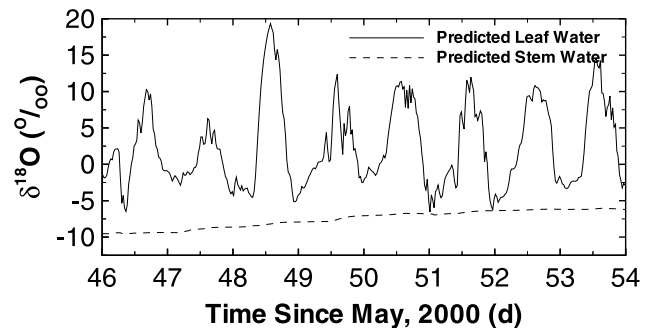
[40] Applying the simplification described by equation (12) to compute the surface H<sub>2</sub><sup>18</sup>O vapor flux resulted in soil water isotopic compositions below the top soil layer (2.5 cm) within 1‰ of the full model predictions. However, in the top soil layer, the diurnal range and overall pattern of

soil moisture isotopic composition differed between the two methods. During periods of moderate soil moisture the two methods predicted comparable mean diurnal isotopic compositions. During dry periods the method of equation (12) predicted top soil layer mean diurnal isotopic compositions up to 5‰ lighter than the full model. These differences between the two methods occur because of interactions between the soil surface and canopy airspace H<sub>2</sub><sup>18</sup>O vapor concentrations, which are accounted for in the full model but not in equation (12). The resulting soil-surface CO<sub>2</sub> flux isotopic composition differed by as much as 2‰ between the two methods.

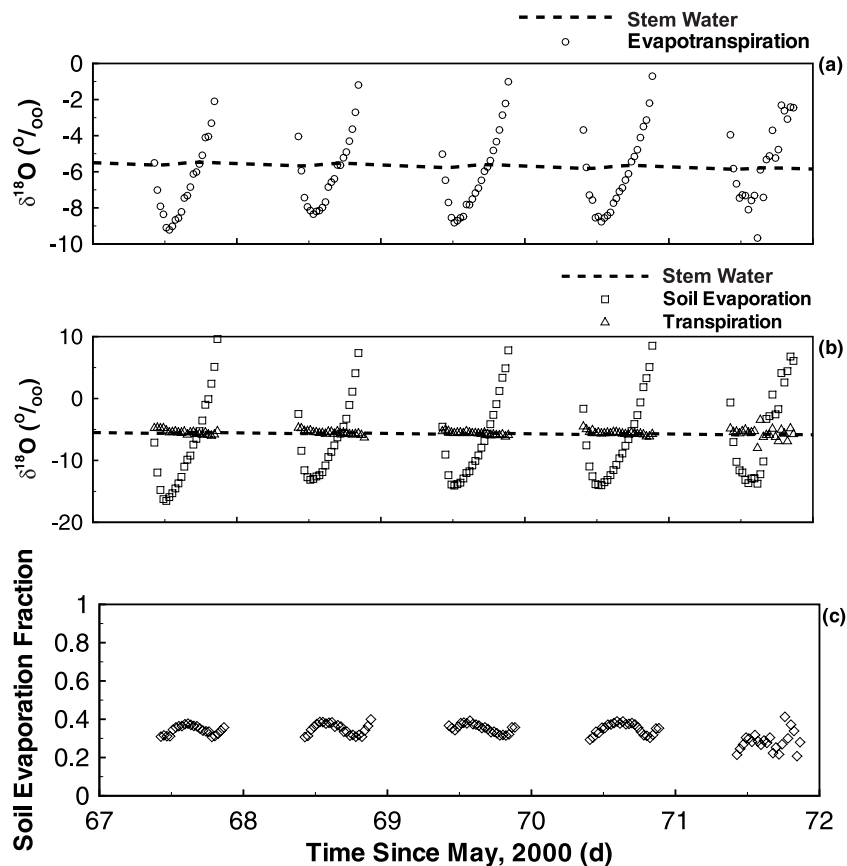
[41] Predicted leaf water isotopic composition typically increases from early morning to midafternoon by between 10 and 20‰, and then relaxes back to a nighttime value by about midnight (Figure 2). During periods of high soil moisture and more frequent precipitation, the leaf water isotopic composition approaches the predicted stem water isotopic composition during the middle of the night. Note also that the predicted leaf water isotopic composition is more variable than the near-surface soil water over the afternoon.

[42] The depth distribution of root water uptake affects the predicted stem water isotopic composition, since deeper water tends to be isotopically lighter. We investigated the impact of this effect in two ways. First, we increased the rooting depth from 25 to 40 cm, while maintaining a comparable relative root density profile. The resulting stem water isotopic composition decreased by less than 1‰, while the leaf water isotopic composition and ecosystem C<sup>18</sup>OO flux were effectively unchanged. Second, we assumed that 40% of root water withdrawal was equally distributed over the top 15 cm and the remaining 60% was distributed over the next 15 cm. This distribution resulted in a daytime decrease of up to 2‰ in stem and leaf water isotopic compositions.

[43] We also examined the sensitivity of the net leaf C<sup>18</sup>OO exchange to leaf CA activity. In this test, we allowed the leaf CO<sub>2</sub> isotopic ratio,  $R_{c,l}$ , to change by a percentage ( $\beta$ ) of the difference between the previous time step's value and the value computed assuming complete equilibrium (i.e.,  $\alpha_c^c(T_v)R_l$ ). Thus, over the simulation time step,  $\beta$  percent of the CO<sub>2</sub> molecules that could have equilibrated with leaf water were able to equilibrate. During the middle



**Figure 2.** Predicted leaf and stem (source) water isotopic compositions. The  $\delta^{18}\text{O}$  values are relative to the V-SMOW standard.



**Figure 3.** (a) Predicted ET flux and stem water isotopic composition. The simulation results are shown for periods when the ET flux is greater than  $100 \text{ W m}^{-2}$ . (b) Predicted isotopic compositions of the transpiration and soil evaporation fluxes. (c) Fraction of the ET flux accounted for by soil evaporation. The  $\delta^{18}\text{O}$  values are relative to the V-SMOW standard.

of the day the net leaf flux isotopic composition was up to 5‰ different when CA activity was low ( $\beta = 20\%$ ) than when complete equilibrium was assumed ( $\beta = 100\%$ ). The simulations also show that the flux isotopic compositions are comparable when the leaf fluxes are small (early in the morning and at sundown).

[44] Prediction of the isotopic composition of ecosystem water pools depends on the assumed atmospheric  $\text{H}_2\text{O}$  isotopic composition. To examine model sensitivity to this parameter we varied the  $\delta^{18}\text{O}$  value of atmospheric  $\text{H}_2\text{O}$  between  $-10$  and  $-15\%$ . As expected, the lighter atmospheric water vapor content resulted in up to 5‰ lighter midday leaf and top soil layer water isotopic compositions. The impact diminished with soil depth, resulting in only about a 1‰ reduction in soil water isotopic composition between 10 and 20 cm. Predicted stem water isotopic composition was consistently 2‰ lighter throughout the simulation with the lighter atmospheric water vapor.

### 3.2. $\text{H}_2^{18}\text{O}$ Fluxes

[45] The predicted evapotranspiration (ET) flux isotopic composition is shown in Figure 3a for a 5-day period (predictions are shown when the ET flux is greater than  $100 \text{ W m}^{-2}$ ). Also shown in Figure 3a is the predicted stem water isotopic composition. The predicted isotopic

composition of the transpiration and soil evaporation fluxes are shown in Figure 3b. The transpiration flux matches the stem water isotopic composition, as required by the steady-state leaf water relationship (equation (20)), while the soil evaporative flux isotopic composition and fraction of the ET flux accounted for by soil evaporation (Figure 3c) vary diurnally and between days. Since the ET isotopic composition is a flux weighted average of soil evaporation and transpiration, the ET signature deviates from the stem water isotopic composition as a function of the soil evaporation fraction. This observation supports the idea that water vapor flux isotopic signatures can be used to partition ET between soil evaporation and transpiration [e.g., Yakir and Wang, 1996].

### 3.3. $\text{C}^{18}\text{OO}$ Soil-Surface Flux

[46] Studies by Hesterburg and Siegenthaler [1991], Farquhar et al. [1993], Ciais et al. [1997a], Miller et al. [1999], and Stern et al. [2001] suggested that the  $\text{CO}_2$  surface flux isotopic composition can be estimated from an average near-surface soil water isotopic composition, a temperature-dependent equilibrium fractionation, and an effective kinetic fractionation. Farquhar et al. [1993] and Ciais et al. [1997a] solved global budgets of atmospheric  $\text{C}^{18}\text{OO}$  to estimate the value of this effective fractionation.



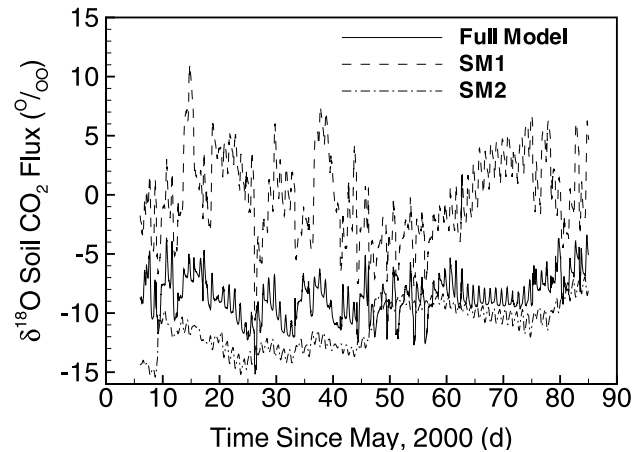
Farquhar *et al.* [1993] suggested a value of 7.6‰, while Ciais *et al.* [1997a] inferred an effective kinetic fractionation of 5‰. In contrast, Stern *et al.* [2001] calculated, from the numerical model of Tans [1998], effective fractionation factors for a variety of biomes that range from about 0.7 to 9.6‰. Miller *et al.* [1999], from their detailed analysis of soil column measurements and model predictions, suggest using an effective kinetic fractionation of  $7.2 \pm 0.3$ ‰ and the average soil water isotopic composition between 5 and 15 cm. To derive this value, they assumed an homogeneously distributed soil CO<sub>2</sub> production function. We examine the impact of the soil CO<sub>2</sub> production distribution below.

### 3.3.1. Comparison to Previous Approaches

[47] In Figure 4 we present a comparison between the surface CO<sub>2</sub> flux isotopic composition as predicted by ISOLSM and the two approximations described above. The simple model of Ciais *et al.* [1997a] (SM1) consistently overpredicts the full model results. The simple model of Miller *et al.* [1999] (SM2) and ISOLSM predict comparable mean diurnal CO<sub>2</sub> flux isotopic signatures during moderate soil moisture conditions. During periods immediately following a precipitation event, ISOLSM predicts a large change in near-surface soil water isotopic composition, which is reflected in a reduction in the soil-surface CO<sub>2</sub> flux isotopic composition. SM2 does not show these large reductions since the integrated soil water isotopic composition between 5 and 15 cm is relatively more stable. During sustained periods of dry soil, SM2 underestimates the flux isotopic signature by up to 5‰. Note that in contrast to SM1 and SM2, the soil-surface CO<sub>2</sub> flux isotopic composition as predicted by ISOLSM is dependent on the near-surface C<sup>18</sup>OO content. A sensitivity analysis indicates that the soil-surface CO<sub>2</sub> flux isotopic composition is relatively insensitive to this parameter.

### 3.3.2. Sensitivity Analyses

[48] We tested the impact of the CO<sub>2</sub> source profile on the soil-surface CO<sub>2</sub> flux isotopic composition by examining three scenarios: (1) CO<sub>2</sub> source distribution described by equation (13) and an e-folding depth of  $z_e = 0.15$  m; (2) CO<sub>2</sub> source described by equation (13) and  $z_e = 0.4$  m; and (3) a homogeneously distributed CO<sub>2</sub> source down to 0.4 m. The integrated CO<sub>2</sub> source through the soil column ( $r_m + r_r$ ) was held constant for the three cases. For the cases with e-folding depths of 0.15 and 0.4 m, the soil-surface CO<sub>2</sub> flux isotopic composition was essentially unchanged during periods of high to moderate soil moisture. During dry soil conditions, the deeper CO<sub>2</sub> source profile resulted in up to a 2‰ lighter surface flux isotopic composition. This result reflects the larger fraction of the belowground CO<sub>2</sub> source in contact with lighter (deeper) soil water, and the competition between soil diffusion and isotopic exchange in the soil water. Analogously, imposing the homogeneously distributed source resulted in a surface flux isotopic composition up to 2 and 5‰ lighter than the first scenario during moderate to high and dry soil moisture conditions, respectively. Note that if the drier soils resulted in a more homogeneously distributed source, the SM2 model predictions would be in closer agreement with the full model during these periods. Because the soil CO<sub>2</sub> flux is small compared to gross photosynthesis in this system, the day-



**Figure 4.** Predicted soil-surface CO<sub>2</sub> flux isotopic composition as predicted by ISOLSM and the methods of Ciais *et al.* [1997a] (SM1) and Miller *et al.* [1999] (SM2). The method of Miller *et al.* [1999] captures the flux isotopic composition during periods of moderate soil moisture but over predicts the isotopic composition during dry soil conditions. The  $\delta^{18}\text{O}$  values are relative to the V-PDB standard.

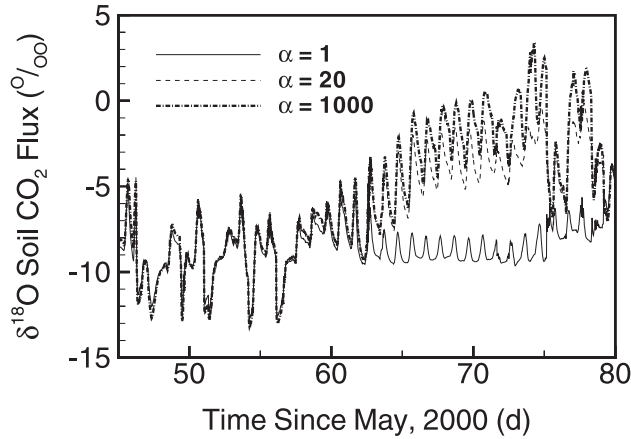
time ecosystem CO<sub>2</sub> flux isotopic composition was essentially unchanged by changing the CO<sub>2</sub> source profile.

[49] We investigated the sensitivity of the CO<sub>2</sub> flux isotopic composition to the method of calculating the diffusion coefficient by examining two scenarios: (1) the nominal case as described by the moisture dependence of equation (15); and (2) the commonly applied relationship [Tans, 1998]:

$$D_c^{16} = (\theta_s - \theta) \kappa D_0. \quad (28)$$

[50] The method of equation (28) predicted CO<sub>2</sub> surface-flux isotopic compositions about 1‰ lighter than the method of equation (15), although the diurnal patterns over the three-month period were unchanged. The diffusion coefficient predicted by equation (28) can be up to three times higher than that predicted by equation (15). Thus the flux associated with equation (28) is able to equilibrate with more of the deeper soil water than the flux associated with equation (15), resulting in the lighter flux isotopic composition. These observations are consistent with the steady state solutions presented by Tans [1998].

[51] The presence of CA or other soil catalytic processes could strongly affect the position in the soil column where CO<sub>2</sub> equilibrates with soil water. Recent work [Atkins *et al.*, 2001] indicates that the legume nodules associated with roots in nitrogen fixing trees have high CA concentrations. The impacts of these effects on the hydration rate,  $k_H$ , is uncertain and likely to vary with depth and location in the landscape. We investigated the sensitivity of the soil-surface CO<sub>2</sub> flux isotopic composition to this catalysis by increasing  $k_H$  by factors ( $\alpha$ ) of 20 and 1000 (Figure 5). Increasing  $k_H$  by a factor of 1000 did not substantially change the soil-surface flux isotopic composition above that of a factor of 100. The impact of the larger hydration rate increases as the



**Figure 5.** Impact of the  $\text{CO}_2$  soil hydration rate,  $k_H$ , on the soil-surface  $\text{CO}_2$  flux isotopic composition. Shown are predicted  $\delta^{18}\text{O}$  values of the soil-surface  $\text{CO}_2$  flux for nominal  $k_H$ , and for  $k_H$  increased by factors ( $\alpha$ ) of 20 and 1000. The impact of increasing the hydration rate is largest under dry soil moisture conditions (i.e., after day 62). The  $\delta^{18}\text{O}$  values are relative to the V-PDB standard.

soil dries (e.g., days 65 through 80) and is small when the soil is wet (e.g., days 45 through 55). The higher soil moisture reduces the diffusion coefficient thereby allowing more time for equilibration with near-surface soil water and reducing the impact of variations in  $k_H$ .

### 3.4. $\text{C}^{18}\text{OO}$ Ecosystem Flux

[52] Figure 6a shows predicted leaf water and  $\text{CO}_2$  flux isotopic compositions for a 5-day period in August 2000. Note that a negative  $\delta^{18}\text{O}$  value for the ecosystem  $\text{CO}_2$  flux implies that the ecosystem is enriching the atmosphere in  $^{18}\text{O}$  (i.e., the lighter isotopomers are preferentially assimilated). At night, the ecosystem  $\text{CO}_2$  flux signature remains relatively constant, since the soil water isotopic composition and the soil temperature are relatively constant. The sharp transitions in the morning and evening occur when the system switches between being dominated by respiration or photosynthesis. During the day, photosynthetic uptake and retrodiffusion dominate ecosystem carbon exchange. The predicted daytime  $\text{CO}_2$  ecosystem flux isotopic signature typically begins light in the morning and increases by about 10‰ by late afternoon. This trend reflects the concurrent changes in leaf water isotopic composition and leaf discrimination [Farquhar and Lloyd, 1993] (Figure 6b).

[53] The net impact of the ecosystem fluxes on the atmospheric  $\text{C}^{18}\text{OO}$  content is shown in Figure 6c. The isoflux,  $I$  ( $\mu\text{mol m}^{-2} \text{s}^{-1} \text{‰}$ ), is defined as

$$I = -(F_{AL} - F_{LA})\delta_{nl}^c + (F_g + F_{sm})\delta_{sw}^c + F_s\delta_s^c, \quad (29)$$

where  $\delta_{nl}^c$ ,  $\delta_{sw}^c$ , and  $\delta_s^c$  correspond to the isotopic compositions of net leaf, stem respiration, and soil-surface  $\text{CO}_2$  fluxes. Recall that during the night ISOLSM simulates, for the leaf, only a one-way maintenance respiration flux. Integrating the isoflux over each day results in daily fluxes

of between 10 and 30  $\text{mol m}^{-2} \text{‰}$ . In this system the respiration isoflux is relatively small and consistent, while the photosynthetic isoflux varies strongly over the day and between days.

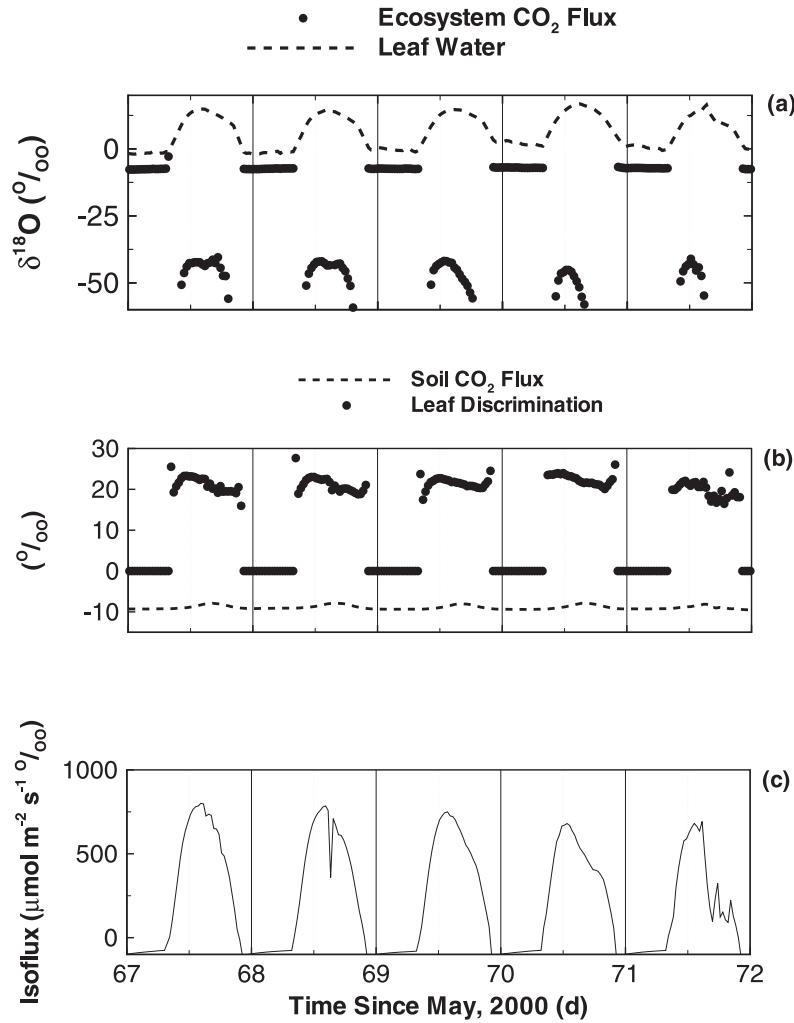
## 4. Summary and Conclusions

[54] We have developed a mechanistic model, called ISOLSM, to simulate  $\text{C}^{18}\text{OO}$  and  $\text{H}_2^{18}\text{O}$  fluxes between ecosystems and the atmosphere. ISOLSM includes modules that simulate transient canopy water vapor, leaf water, and vertically resolved soil water  $\text{H}_2^{18}\text{O}$  content; leaf photosynthetic and retrodiffusive  $\text{C}^{18}\text{OO}$  fluxes; and soil diffusive  $\text{CO}_2$  and  $\text{C}^{18}\text{OO}$  fluxes and equilibration with soil water. The isotope modules have been integrated into the land surface model LSM, although coupling with other land surface models should be straightforward. Model testing in a tallgrass prairie site is described in an upcoming paper (Riley et al., manuscript in preparation, 2002).

[55] Comparisons between ISOLSM and simplified models showed substantial differences between approaches, except under limited conditions. For example, the method of Miller et al. [1999] accurately captured the mean diurnal soil-surface  $\text{CO}_2$  flux isotopic composition during periods of moderate soil moisture. However, during periods of dry soils the simple model predicted up to 5‰ lighter flux isotopic compositions.

[56] We evaluated model prediction sensitivity to several input parameters and mechanism formulations. Simulations indicate that CA activity in leaves and soil has large impacts on net leaf (up to 5‰) and soil-surface (up to 10‰)  $\text{C}^{18}\text{OO}$  exchange. Varying the assumed rooting depth led to changes in the leaf and stem water isotopic compositions of up to 2‰. The soil  $\text{CO}_2$  source profile most strongly affected the surface flux isotopic composition during periods of dry soil. The difference was largest when comparing an exponentially decaying source with an e-folding depth of 15 cm and a source that was constant to 40 cm depth. The deeper, constant source resulted in up to a 5‰ lighter  $\text{CO}_2$  surface flux isotopic composition, reflecting equilibration with deeper, and therefore lighter, soil water. Note that in real systems, the respiration  $\text{CO}_2$  production profile will depend on the depth-dependent soil moisture content and temperature. All else being equal, a drier soil will increase the effective production depth and result in soil water enriched in  $^{18}\text{O}$ . The net effect of these mechanisms is difficult to discern without representing the coupled processes, as is done in ISOLSM. The soil-surface  $\text{CO}_2$  flux isotopic composition was relatively insensitive to the formulations for the soil-gas diffusion coefficient. Finally, varying the  $\delta^{18}\text{O}$  value of atmospheric  $\text{H}_2\text{O}$  had substantial impacts on the leaf, stem, and top soil layer water isotopic compositions, as well as on net ecosystem  $\text{C}^{18}\text{OO}$  exchange.

[57] The work presented here highlights the impacts of various ecosystem processes on  $\text{C}^{18}\text{OO}$  fluxes. The large variation in  $\text{C}^{18}\text{OO}$  fluxes under changing environmental conditions implies that robust representation of processes important in soil and plant exchanges are necessary to accurately predict these fluxes. For example, leaf water isotopic composition strongly impacts  $\text{C}^{18}\text{OO}$  net and



**Figure 6.** (a) Predicted ecosystem  $\text{CO}_2$  flux and leaf water isotopic compositions. The  $\text{CO}_2$  flux isotopic composition follows the leaf water signal, beginning light early in the morning, increasing until midafternoon and then declining until sundown. The  $\text{CO}_2$  flux isotopic composition remains relatively constant at night. (b) Predicted soil-surface  $\text{CO}_2$  flux isotopic composition and leaf discrimination. (c) Ecosystem flux. The  $\delta^{18}\text{O}$  values for  $\text{CO}_2$  fluxes and  $\text{H}_2\text{O}$  pools are relative to the V-PDB and V-SMOW standards, respectively.

retrodiffusive leaf fluxes. The leaf water isotopic composition depends on the root-activity weighted soil moisture isotopic composition, which depends on recent surface-water inputs and evaporative history. Failure to include these processes will likely result in inaccurate predictions of leaf water isotopic composition, and therefore inaccurate predictions of ecosystem  $\text{C}^{18}\text{OO}$  fluxes. The simplified models of  $\text{C}^{18}\text{OO}$  soil-surface fluxes that do not account for vertically resolved and transient soil moisture isotopic composition and respiration fluxes make substantially different predictions than models that include these effects. Thus, site-level and global forward and inverse models of respiratory and photosynthetic  $\text{C}^{18}\text{OO}$  fluxes should benefit from ISOLSM's mechanistic representation of the land-surface isotopic flux balance. In future work we will apply ISOLSM to better understand the conditions under which

separating respiratory and photosynthetic  $\text{CO}_2$  fluxes using  $^{18}\text{O}$  is possible, to better quantify the impact of environmental variability and parameter uncertainty on these flux estimates, and to develop simplified models of  $\text{C}^{18}\text{OO}$  ecosystem exchanges appropriate for regional- and global-scale simulations.

### Notation

$B$	Bunsen solubility coefficient, $\text{m}^3$ air $\text{m}^{-3}$ water.
$C$	soil gas-phase $\text{CO}_2$ concentration, $\mu\text{mol m}^{-3}$ .
$c_a, c_b, c_s$	$\text{CO}_2$ mole fraction in canopy air, at the bottom of the stomatal pore, and at the leaf surface.

$c_a^{18}, c_t^{18, sun}, c_t^{18, sha}, c_g^{18}$	H <sub>2</sub> <sup>18</sup> O conductances, m s <sup>-1</sup> .	$R_{c, l}, R_{c, sw}$	isotopic ratios of gaseous CO <sub>2</sub> in equilibrium with leaf and stem water.
$c_p$	dry air heat capacity at constant pressure, J kg <sup>-1</sup> K <sup>-1</sup> .	$R_{eq}$	isotopic ratio of CO <sub>2</sub> in equilibrium with local soil moisture.
$D_0$	CO <sub>2</sub> molecular diffusivity at 298 K, m <sup>2</sup> s <sup>-1</sup> .	$R_g, R_l^{sun}, R_l^{sha}$	gas phase CO <sub>2</sub> isotopic ratio. leaf and sunlit and shaded leaves
$D_c, D_c^{18}$	CO <sub>2</sub> and C <sup>18</sup> OO effective soil diffusivity, m <sup>2</sup> s <sup>-1</sup> .		water isotopic ratios.
$D_w^{16}, D_w^{18}$	H <sub>2</sub> O and H <sub>2</sub> <sup>18</sup> O diffusivity, m <sup>2</sup> s <sup>-1</sup> .	$r_m$	soil column microbial respiration, μmol m <sup>-2</sup> s <sup>-1</sup> .
$e_a, e_{atm}$	water vapor pressures in the plant canopy and atmosphere, Pa.	$r_r$	root respiration, μmol m <sup>-2</sup> s <sup>-1</sup> .
$e_i, e_s$	leaf interior and surface water vapor pressures, Pa.	$R_r$	<sup>18</sup> O isotopic ratio of the incoming water.
$e^*$	saturation water vapor pressure, Pa.	$R_s$	<sup>18</sup> O isotopic ratio of soil respired CO <sub>2</sub> .
$E^{18}$	H <sub>2</sub> <sup>18</sup> O vapor flux between the ecosystem and atmosphere, kg m <sup>-2</sup> s <sup>-1</sup> .	$r_s^{sun}, r_s^{sha}$	sunlit and shaded stomatal resistances, s m <sup>-1</sup> .
$E_g^{18}$	soil-surface H <sub>2</sub> <sup>18</sup> O evaporative flux, kg m <sup>-2</sup> s <sup>-1</sup> .	$R_{sw}$	root density weighted soil water isotopic ratio.
$E_T$	transpiration flux partitioned into the soil, m <sup>3</sup> H <sub>2</sub> O m <sup>-3</sup> s <sup>-1</sup> .	$R_w$	soil water <sup>18</sup> O isotopic ratio.
$E_v^{18, sun}, E_v^{18, sha}$	H <sub>2</sub> <sup>18</sup> O fluxes from sunlit and shaded leaves, kg m <sup>-2</sup> s <sup>-1</sup> .	$R_{w, 0}$	soil water isotopic ratio in the top soil layer.
$F_{al}, F_{la}$	CO <sub>2</sub> fluxes into and out of the leaf, μmol m <sup>-2</sup> s <sup>-1</sup> .	$S$	stem area index, m <sup>2</sup> m <sup>-2</sup> .
$F_{al}^{18}, F_{la}^{18}$	C <sup>18</sup> OO fluxes into and out of the leaf, μmol m <sup>-2</sup> s <sup>-1</sup> .	$S_c$	depth-dependent soil respiration source strength, μmol m <sup>-3</sup> s <sup>-1</sup> .
$F^{18}$	net ecosystem C <sup>18</sup> OO flux, μmol m <sup>-2</sup> s <sup>-1</sup> .	$t$	time, s.
$F_{sm}, F_g$	stem and growth respiration CO <sub>2</sub> fluxes, μmol m <sup>-2</sup> s <sup>-1</sup> .	$T$	temperature, K.
$F_{sm}^{18}, F_g^{18}$	stem and growth respiration C <sup>18</sup> OO fluxes, μmol m <sup>-2</sup> s <sup>-1</sup> .	$T_s$	soil temperature, K.
$F_{net}^{18}$	net C <sup>18</sup> OO leaf exchange, μmol m <sup>-2</sup> s <sup>-1</sup> .	$T_{s, 0}$	first soil layer temperature, K.
$F_s, F_s^{18}$	CO <sub>2</sub> and C <sup>18</sup> OO soil-surface fluxes, μmol m <sup>-2</sup> s <sup>-1</sup> .	$T_0$	298 K.
$f_w$	wetted fraction of the canopy.	$T_v$	vegetation temperature, K.
$I$	isoflux, μmol m <sup>-2</sup> s <sup>-1</sup> ‰.	$z$	depth, m.
$k_H$	hydration rate, s <sup>-1</sup> .	$z_e$	e-folding distance for soil respiration, m.
$L$	leaf area index, m <sup>2</sup> m <sup>-2</sup> .	$\alpha_e^c$	CO <sub>2</sub> equilibration factor.
$L^{sun}, L^{sha}$	sunlit and shaded leaf area indices, m <sup>2</sup> m <sup>-2</sup> .	$\alpha_e^w$	equilibrium vapor pressure offset.
$n, n'$	exponents.	$\alpha_k, \alpha_{kb}$	H <sub>2</sub> <sup>18</sup> O isotopic kinetic fractionations.
$q$	soil water flux, m <sup>3</sup> H <sub>2</sub> O m <sup>-2</sup> s <sup>-1</sup> .	$\alpha_k^c, \alpha_{kb}^c$	C <sup>18</sup> OO isotopic kinetic fractionations.
$q_i$	infiltration rate, m <sup>3</sup> H <sub>2</sub> O m <sup>-2</sup> s <sup>-1</sup> .	$\alpha'_k$	weighted kinetic fractionation across the laminar leaf boundary layer and stoma.
$p_a$	atmospheric pressure, Pa.	$\epsilon_a, \epsilon_w$	air- and water-filled pore space, m <sup>3</sup> m <sup>-3</sup> soil.
$r_{aw}$	aerodynamic resistance between the canopy air and atmosphere, s m <sup>-1</sup> .	$\epsilon_t$	phase partitioning parameter, m <sup>3</sup> m <sup>-3</sup> soil.
$r'_{aw}$	aerodynamic resistance between the soil surface and canopy air, s m <sup>-1</sup> .	$\delta_{nl}^c, \delta_{sw}^c, \delta_s^c$	isotopic signatures of net leaf, stem respiration, and soil-surface CO <sub>2</sub> fluxes.
$R_{atm}^v, R_a^v$	atmospheric and canopy air water vapor <sup>18</sup> O isotopic compositions.	$\gamma$	psychrometric constant, Pa K <sup>-1</sup> .
$\bar{r}_b$	leaf boundary layer resistance, s m <sup>-1</sup> .	$\kappa$	constant for diffusion coefficient calculations.
$R_{c, atm}$	atmospheric <sup>18</sup> O isotopic ratio of CO <sub>2</sub> .	$\lambda$	latent heat of vaporization of water, J kg <sup>-1</sup> .
		$\theta$	soil water content, m <sup>3</sup> H <sub>2</sub> O m <sup>-3</sup> soil.
		$\theta_s$	saturated water content, m <sup>3</sup> H <sub>2</sub> O m <sup>-3</sup> soil.



$\rho_a$  air density, kg m<sup>-3</sup>.  
 $\rho_r$  relative rooting density.

[58] **Acknowledgments.** We thank Gordon Bonan for his publicly available and well-documented land surface model. N. McDowell and two anonymous reviewers made helpful comments on an earlier draft of the paper. This work was supported by the Atmospheric Radiation Measurement Program, Office of Science, U.S. Department of Energy under contract DE-AC03-76SF00098.

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